



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

For: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR EFFECTS IN VIVO

Signature of person mailing paper

Page 1 of 2

In re application of: Ronald Levy et al.  
Application No.: 10/660,369                      Group No.: 1614  
Filed: September 11, 2003                      Examiner: To be assigned  
For: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL  
COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE  
IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR EFFECTS IN VIVO

**Error in**

**Correct data**

1. ☐ Applicant's name  
2. ☐ Applicant's address  
3. ☒ Title

1.  
2.  
3. TRANSDUCED NEOPLASTIC CELL  
PREPARATIONS ABLE TO EXPRESS T-CELL  
COSTIMULATORY MOLECULES B7.1, ICAM-1,  
AND LFA-3 AND INDUCE  
IMMUNOSTIMULATORY PROPHYLACTIC  
AND THERAPEUTIC ANTI-TUMOR EFFECTS IN  
VIVO

4. ☐ Filing Date  
5. ☐ Serial Number  
6. ☐ Foreign/PCT Application Re:  
7. ☐ Other

4.  
5.  
6.  
7.

3. *(complete the following applicable item)*

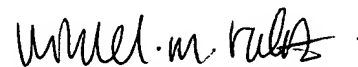
☒ The correction(s) is not due to any error by applicant and no fee is due.

**OR**

☐ At least one of the above corrections is due to applicant's error and the fee therefore, under 37 C.F.R. Section 1.19(h), of \$25.00 is paid as follows:

Date: January 22, 2004

Respectfully submitted,



David S. Resnick (Reg. No. 34,235)  
Nicole L.M. Valtz (Reg. No. 47,150)  
NIXON PEABODY LLP  
101 Federal Street  
Boston, MA 02110  
(617) 345-6057/1270



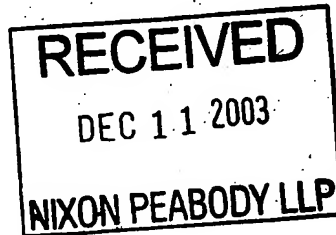
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/660,369	09/11/2003	1614	0.00	700953-54440	8	7	7

26248  
 NIXON PEABODY LLP  
 101 FEDERAL ST  
 BOSTON, MA 02110



CONFIRMATION NO. 5464

FILING RECEIPT



\*OC000000011438546\*

Date Mailed: 12/09/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ronald Levy, Stanford, CA;  
 Dennis Panicali, Acton, MA;

**Assignment For Published Patent Application**

THERION BIOLOGICS CORPORATION, Cambridge, MA;  
 The Board of Trustees of the Leland Stanford Junior University, Palo Alto, CA;

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/410,161 09/12/2002

**Foreign Applications**

If Required, Foreign Filing License Granted: 12/08/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

*Don*

*Costimulatory**B7.1**DATE*

Transduced neoplastic cell preparations able to express T-cell ~~preparations able to express T-cell~~  
~~costimulatory~~ molecules ~~B7.1~~, ICAM-1, and LFA-3 and induce immunostimulatory prophylactic  
 and therapeutic anti-tumor effects in-vivo

**Preliminary Class**

514

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 Title 37, Code of Federal Regulations, 5.11 & 5.15**

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